

**The Republic of Uganda**

**RUBANDA DISTRICT LOCAL GOVERNMENT**

SHORT LISTING (PRE-QUALIFICATION) OF PROVIDERS FOR WORKS

**FINANCIAL YEAR**

**RUBA616/WRKS, /2021-2022/00001/2**

**2021/2022**

**TABLE OF CONTENTS**

Preface 3

PART I: GENERAL PART 3

1.1 Scope of Application 3

1.2 Source of Funds 4

1.3 Corrupt Practices 4

PART II: INSTRUCTIONS TO PROVIDERS 5

2.1 Introduction 5

2.2 Objectives 5

2.3 Eligible Applicants and Countries 5

2.4 Cost of Applying 6

2.5 Clarification of Short listing Documents 7

2.6 Amendment of Short listing Document 7

PART III: PREPARATION OF APPLICATIONS 8

3.1 Language of Application 8

3.2 Documents Establishing Applicant’s Eligibility and Qualifications 8

3.3 Format and Signing of Applications 8

PART IV: SUBMISSION OF APPLICATIONS 9

4.1 Sealing and Labelling of Applications 9

4.2 Deadline for Submission of Applications 9

4.3 Late Applications 9

PART V: OPENING AND EVALUATION OF APPLICATIONS 10

5.1 Opening of Applications by the [Procuring and Disposing Entity] 10

5.2 Evaluation of Applications: 10

5.3 Clarification of Applications 10

5.4 Contacting the Procuring and Disposing Entity 11

5.5 Confidentiality 11

PART VI: SHORT LISTING 12

6.1 Notification to the Short listed Applicants 12

6.2 Inspection 12

6.3 Currency 12

6.4 Changes in Qualifications of Applicants 12

ANNEX A: FORM A1: APPLICATION SUBMISSION SHEET 13

ANNEX B: STATEMENT OF REQUIREMENTS **Error! Bookmark not defined.**

ANNEX C: EVALUATION CRITERIA 23

# Preface

Short listing is a pre-tender process that provides for a shortlist of providers from which providers are obtained to bid. Short listing is used where works, services or supplies are of a routine nature or bidding is for a group of similar contracts. Short listing shall be open to all providers and providers shall be invited using a short list notice.

A short list notice shall be published in at least one publication of wide circulation to ensure effective competition.

The short listing document is divided into:

* Part I: General Part
* Part II: Instructions to Bidders
* Part III: Preparation of Applications
* Part IV: Submission of Applications
* Part V: Opening and Evaluation of Applications
* Part VI: Short listing

**LOT A: WORKS**

1. Construction and Renovation of Buildings and civil works.
2. Protection of water springs

# PART I: GENERAL PART

### 1.1 Scope of Application

1.1.1 The Procuring and Disposing Entity invites applications for the short list of works, services and supplies described in **Appendix A.**

1.1.2 Throughout this document:

(a) The “Applicant” means the bidder submitting an application; and

(b) “Application” means a bid or submission to be short-listed.

As defined in the Public Procurement and Disposal of Public Assets Act and Regulations, 2003 of the Government of Uganda.

### 1.2 Source of Funds

1.2.1 The Procuring and Disposing Entity has an approved budget from Government funds towards the cost of the procurements described in **Appendix A.** The Procuring and Disposing Entity intends to use these funds for payments under the contract(s) resulting from the bidding for which this short list is conducted.

### 1.3 Corrupt Practices

1.3.1 It is the Government of Uganda’s policy to require that Procuring and Disposing Entities, as well as Applicants, Providers and Providers, observe the highest standards of ethics during procurement and the execution of contracts. In pursuit of this policy, the Government of Uganda represented by the Public Procurement and Disposal of Public Assets Authority (herein referred to as the Authority):

(a) defines, for the purposes of this provision, the terms set forth below as follows:

(i) “corrupt practice” includes the offering, giving, receiving, or soliciting of anything of value to influence the action of a public official in the procurement process or in contract execution; and

(ii) “fraudulent practice” includes a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of the Procuring and Disposing Entity, and includes collusive practices among Providers prior to or after bid submission designed to establish bid prices at artificial, non competitive levels and to deprive the Procuring and Disposing Entity of the benefits of free and open competition;

(b) will reject a recommendation for award if it determines that the Bidder recommended for award has engaged in corrupt or fraudulent practices in competing for the Contract; and

(c) will suspend a Provider from engaging in any public procurement proceeding for a stated period of time, if it at any time determines that the Provider has engaged in corrupt or fraudulent practices in competing for, or in executing, a Government contract.

1.3.2 In pursuit of the policy, the Government of Uganda requires representatives of both the Procuring and Disposing Entity and of Providers to adhere to the relevant codes of ethical conduct. The Code of Ethical Conduct for Providers is available from the Authority and Providers are required to indicate their acceptance of this code through the declarations in the **Application Submission Sheet**.

# PART II: INSTRUCTIONS TO PROVIDERS

### 2.1 Introduction

**Rubanda District Local Government** will evaluate and short list all eligible companies for the provision of various works, for the Financial **Year 20*21/2022.*** Once a firm has been short listed, it will be invited, several times during the financial year, to submit a proposal for the provision of some or all of the works, services or supplies. Rubanda District Local Government reserves the right to add similar types of works, services or supplies to the list in ***Appendix A***

### 2.2 Objectives

Rubanda District Local Government invites sealed Applications from reputable providers for works, services or supplies for the provision of various works, services or supplies for the Financial Year 2021/2022

The list of items required during the above mentioned financial year can be viwed on our website in the annual procurement plan

### 

### 2.3 Eligible Applicants and Countries

2.3.1 An Applicant, and all parties constituting the Applicant, shall meet the following criteria to be eligible to participate in public procurement:

(a) the applicant has the legal capacity to enter into a contract;

(b) the applicant is not:

(i) insolvent;

(ii) in receivership;

(iii) bankrupt; or

(iv) being wound up

(c) the applicant’s business activities have not been suspended;

(d) the applicant is not the subject of legal proceedings for any of the circumstances in (b); and

(e) the applicant has fulfilled his or her obligations to pay taxes and social security contributions.

2.3.2 All countries are eligible except countries subject to the following provisions.

A country shall not be eligible if:

(a) as a matter of law or official regulation, the Government of Uganda prohibits commercial relations with that country, provided that the Government of Uganda is satisfied that such exclusion does not preclude effective competition for the provision of supplies or related services required; or

(b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Government of Uganda prohibits any import of Supplies from that country or any payments to persons or entities in that country”.

2.3.3 An Applicant shall be a natural person, private entity, government-owned entity, subject to 2.3.9, or any combination of them with the formal intent to enter into an agreement or under an existing agreement in the form of a joint venture, consortium or association. In the case of a joint venture, consortium or association, unless otherwise specified in the **Application Submission Sheet**, all parties shall be jointly and severally liable.

2.3.4 An Applicant and all parties constituting the Applicant shall have the nationality of an eligible country. An Applicant shall be deemed to have the nationality of a country if the Applicant is a citizen, or is constituted, incorporated or registered and operates in conformity with the provisions of the laws of that country.

2.3.5 This criterion shall also apply to the determination of the nationality of proposed subcontractors or providers for any part of the Contract including related services.

2.3.6 Applicants shall not have a conflict of interest. All Applicants found to be in conflict of interest shall be disqualified. Applicants shall be considered to have a conflict of interest with one or more parties in this short listing process, if they:

(a) have controlling shareholders in common; or

(b) receive or have received any direct or indirect subsidy from any of them; or

(c) have the same legal representative for purposes of this application; or

(d) have a relationship with each other, directly or through common third parties, that puts them in a position to have access to information about or influence on the application of another Applicant, or influence the decision of the Procuring and Disposing Entity regarding this short listing process; or

(e) Participated as a consultant in the preparation of the design or technical specifications of the works, services or supplies that are the subject of this short listing.

2.3.7 A firm shall submit only one bid in the same bidding process, either individually as a Bidder or as a partner of a joint venture. No firm can be a subcontractor while submitting a bid individually or as a party of a joint venture in the same bidding process. A firm, if acting in the capacity of Subcontractor in any bid, may participate in more than one bid, but only in that capacity. A Bidder who submits, or participates in, more than one bid will cause all the proposals in which the Bidder has participated to be disqualified.

2.3.8 A firm that is under a declaration of suspension by the Authority at the date of submission of the application or thereafter, shall be disqualified.

2.3.9 Government-owned entities in Uganda shall be eligible only if they can establish that they are legally and financially autonomous, and operate under commercial law, and that they are not a dependent agency of the Procuring and Disposing Entity.

2.3.10 Applicants shall provide such evidence of their continued eligibility satisfactory to the Procuring and Disposing Entity, as the Procuring and Disposing Entity shall reasonably request.

### 2.4 Cost of Applying

The Applicant shall bear all costs associated with the preparation and submission of its Application and ***RubandaDistrict Local Government*** will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the short listing process.

### 2.5 Clarification of short listing Documents

A prospective Applicant requiring any clarification of the short listing documents may notify the ***Rubanda District Local Government***in writing or by cable (hereinafter, the term cable is deemed to include telephone, and e-mail) at the client’s address indicated below. The Entity will respond in writing to any request for clarification on the short listing documents, which it receives no later than **seven (7) days** prior to the deadline for the submission of Applications. Written copies of the *Entity’s* response (including an explanation of the query but without identifying the source of inquiry) will be sent to all prospective applicants that have received the short listing documents.

For clarification and delivery purposes only, the Procuring and Disposing Entity’s address is:

Attention: **The Chief Administrative Officer**

Street Address: **Rubanda District Local Government**

Floor/Room number: **Office of the CAO**

Town/City: **Rubanda**

Postal Code/P. O. Box No: **781**

Country: **Uganda**

Telephone:

Facsimile number:

Electronic mail address:

### 2.6 Amendment of Short listing Document

2.6.1 At any time prior to the deadline for submission of applications, the Procuring and Disposing Entity may amend the Short listing Document by issuing addenda.

2.6.2 Any addendum issued shall be part of the Short listing Document and shall be communicated in writing to all who have obtained the short listing document from the Procuring and Disposing Entity.

2.6.3 To give prospective Applicants reasonable time to take an addendum into account in preparing their applications, the Procuring and Disposing Entity may, at its discretion, extend the deadline for the submission of applications.

# PART III: PREPARATION OF APPLICATIONS

### 3.1 Language of Application

The Application prepared by the Applicant, as well as all correspondence and documents relating to the Application exchanged by the Applicant and the ***Rubanda District Local Government*** shall be written in English. Supporting documents and printed literature provided by the Applicant may be in another language provided they are accompanied by an accurate translation of the relevant passages in English, in which case, for purposes of interpretation of the Application, the translation shall govern.

### 3.2 Documents Establishing Applicant’s Eligibility and Qualifications

The Applicant shall provide as part of its Application, the documentary evidence of the Applicant's legal status, financial, technical and production capability to provide the services if a contract is awarded in the format provided in the Application Submission Sheet **(Forms A1 – A7).** Failure to provide the required information shall result in disqualification.

### 3.3 Format and Signing of Applications

3.3.1 The Applicant is requested to submit its Short listing Documents (included in Annex A) in one envelope marked: **“Short listing Documents for the provision of ................... to Rubanda *District Local Government* for the Financial Years *2021/2022.”***The envelope shall contain one (1) original and two (2) copies.

3.3.2 The original and the copies of the Application shall be typed or written in indelible ink, and shall be signed by the Applicant or a person or persons duly authorized to sign the short listing documents. All pages of the Application, except for unammended printed literature, shall be initialed by the person or persons signing the Application and each page numbered.

3.3.3 Any interlineations, erasures, or overwriting shall be valid only if they are initialed by the person or persons signing the Application.

3.3.4 All Pages of the proposal **MUST** be numbered sequentially starting with page number one (1) being the cover page.

# PART IV: SUBMISSION OF APPLICATIONS

### 4.1 Sealing and Labelling of Applications

4.1.1 The Short listing Application shall be composed of one envelope marked “***Short listing*** ***Document for the provision of........................”.*** It shall contain one (1) original (marked “Original”) and two (2) copies (marked “Copy”).

4.1.2 For application submission purposes only, the Procuring and Disposing Entity’s address is:

Attention: **The Secretary Contracts Committee**

Street Address: **Rubanda District Local Government**

Floor/Room number: **Procurement and Disposal Unit**

Town/City: **Kabale**

Postal Code: **781**

Country**: Uganda**

The deadline for application submission is:

Date: **7th July 2021**

Time (local time):**01:00P.M**

**Pre bid meeting will NOT BE THERE. ANY CLARIFICATION CALL 0773945656**

**DUE TO LOCK DOWN CONDITION WE SHALL ACCEPT BIDS DELIVERD THROUGH POST OFFICE BEFORE THE DATE OF THE DEADLINE.**

4.1.3 The envelope shall also indicate the name and address of the Applicant to enable the Application to be returned unopened in case it is declared “late”.

4.1.4 If the envelope is not sealed and marked as required by Clause 4.1, **Rubanda District Local Government** will assume no responsibility for the Applications misplacement or premature opening.

### 4.2 Deadline for Submission of Applications

Applications must be received by **Rubanda District Local Government** at the address specified under Clause 4.1.2 not later than **7th July 2021 at 01:00 P.M**

### 4.3 Late Applications

Any Application received after the deadline for submission of Applications prescribed by the **Rubanda District Local Government** will be rejected and returned unopened to the Applicant.

# PART V: OPENING AND EVALUATION OF APPLICATIONS

### 5.1 Opening of Applications by Rubanda District Local Government

5.1.1 **Rubanda District Local Government** will read out Applicants’ particulars in the presence of Applicants' representatives who choose to attend, on **07th July 2021 at 02:00 P.M**

***.*** The Applicants' representatives who are present shall sign a register as evidence for their attendance.

5.1.2 No Application shall be rejected at Application opening, except for late Applications, which shall be returned unopened to the Applicants.

5.1.3 Rubanda District Local Government will prepare minutes for the bid opening.

### 5.2 Evaluation of bids:

(a) Rubanda District Local Government will carry out the evaluation of proposals on the basis of their responsiveness to:

(a) a copy of the Bidder’s Trading licence or equivalent;

(b) a copy of the Bidder’s Certificate of Registration or equivalent;

(c) a copy of the Bidder’s income Tax clearance certificate or equivalent;

(d) a copy of the Bidders VAT registration or equivalent;

(e) a statement in the Bid Submission Sheet that the bidder meets the eligibility criteria stated in ITB 4.1;

(f) a declaration in the Bid Submission Sheet of nationality of the Bidder;

(g) a statement in the Bid Submission Sheet that the Bidder has no conflict of interest;

(h) a declaration in the Bid Submission Sheet that the Bidder is not under suspension by the Public Procurement and Disposal of Public Assets

(i) Financial Position – Presentation of copies of Audited reports for the last two years.

(j) Experience in similar works

***Note: Specific evaluation criteria for each category as given in Appendices***

(b) Any application that fails to meet the requirements in 5.2 (a) will be considered unsuitable and shall be rejected at this stage. The Procuring and Disposing Entity shall notify the Applicant of the rejection of their application.

### 5.3 Clarification of Applications

5.3.1 During evaluation of the Applications, the ***Rubanda District Local Government* may**, at its discretion, ask the Applicant for clarification of its Application. A request for clarification shall be signed and sent to a bidder by the chairperson of the evaluation committee and all requests for clarifications shall be copied to all bidders for information purposes only and noted in the evaluation report.

5.3.2 A bidder shall be instructed to reply to clarifications in writing within a specified time, addressing their responses to the head of the procurement and disposal unit.

5.3.3 The head of the procurement and disposal unit shall ensure that all replies are promptly forwarded to the chairperson of the evaluation committee.

5.3.4 Failure of a bidder to respond to a request for clarification may result in the rejection of its bid.

### 5.4 Contacting the Procuring and Disposing Entity

5.4.1 No Applicant shall contact Rubanda District Local Government on any matter relating to its Application from the time of Application opening to short listing of Applicants.

5.4.2 Any effort by the Applicant to influence Rubanda District Local Government in its decisions on the Application evaluation may result in the rejection of the Application.

### 5.5 Confidentiality

5.5.1 Information relating to the evaluation of applications, and recommendation for short listing, shall not be disclosed to Applicants or any other persons not officially concerned with such process until the notification of short listing is made to all Applicants.

5.5.2 From the deadline for submission of applications to the time of notification of the results of the short listing, any Applicant that wishes to contact the Procuring and Disposing Entity on any matter related to the short listing process, may do so but only in writing.

# PART VI: SHORT LISTING

### 6.1 Notification to the Short listed Applicants

Rubanda District Local Government will notify all Applicants in writing or by radio announcements on local radios, that they have been short listed to provide works, services or supplies for the **Financial Year *2021/2022***

### 6.2 Inspection

Rubanda District Local Government reserves the right to conduct a physical inspection of the premises of the Applicant at its own cost and discretion. If, after the inspection, it is deemed that the physical structure and quality of service equipment is unsatisfactory, then the Application will be rejected. The Procuring and Disposing Entity reserves the right to verify all information submitted.

### 6.3 Currency

All monetary/financial information furnished, must be quoted in Uganda Shillings.

### 6.4 Changes in Qualifications of Applicants

6.4.1 Applicants and those subsequently short listed or conditionally short listed, shall inform the Procuring and Disposing Entity of any material change in information that might affect their qualification status. Providers shall be required to update key short listing information at the time of bidding.

6.4.2 Prior to award of contract, the best evaluated bidder will be required to confirm its continued qualified status in a post-qualification review process.

# ANNEX A: FORM A1: BID SUBMISSION SHEET

Date: *[………………………………….]*

To: *[……………………………………………………………………………………………………………]*

We, the undersigned declare that:

1. We have examined and have no reservations to the short listing document, including Addenda No:……..., *[insert the number and issuing date of each Addenda]*;
2. We hereby apply to be short listed for the following works, services or supplies:

|  |  |
| --- | --- |
| **Reference Number** | **Description of Works, Services or Supplies** |
|  |  |
|  |  |
|  |  |

1. We, including any subcontractors or providers for any part of the contract or contracts resulting from this short listing process, are eligible to participate in public procurement;
2. We undertake to abide by the Code of Ethical Conduct for Providers and Providers during the procurement process and the execution of any resulting contract;
3. We, including any subcontractors or providers for any part of the contract or contracts resulting from this short listing process, have nationals from the following eligible countries

………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………

1. We, including any subcontractors or providers for any part of the contract or contracts resulting from this short listing process do not have any conflict of interest, and are not associated, nor have been associated in the past, directly or indirectly, with the consultant or any other entity that has prepared the design or technical specifications of the Supplies;
2. We, our affiliates or subsidiaries, including any subcontractors for any part of the contract or contracts resulting from this short listing process, have not been suspended by the Public Procurement and Disposal of Public Assets Authority in Uganda from participating in public procurement;
3. We are not a government owned entity, or if we are, we meet the requirements of 2.3.9.
4. We declare that the following commissions, gratuities, or fees have been paid or are to be paid with respect to the short listing process, the corresponding bidding process or execution of the Contract

…………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………….....

|  |  |  |  |
| --- | --- | --- | --- |
| **Name of Recipient** | **Address** | **Purpose/Reason** | **Amount & currency** |
|  |  |  |  |
|  |  |  |  |

1. We understand that you may amend the scope and value of any contracts to be bid or cancel the short listing process at any time and that you are neither bound to accept any application that you may receive nor to invite the short listed applicants to bid for the contract or contracts, which are the subject of this short listing, without incurring any liability to the Applicants;
2. We understand that qualification information will be subject to verification through a post-qualification process prior to any award of contract;
3. We hereby authorise you and your authorised representatives, to conduct any enquiries or investigations to verify the statements, documents and information submitted in connection with this application and to seek clarification from our bankers and clients regarding any financial and technical aspects. This Application Submission Sheet will also serve as authorisation to any individual or authorised representative of any institution referred to in the supporting information to provide such information deemed necessary and as requested by yourselves to verify statement s and information provided in this application.

Signed………………………………………………………………

Name………………………………………………………………………..

In the capacity of ………………………………………………………………………………......................................................................................................................................................................

Duly authorised to sign the application for and on behalf of …………………………………………………………………………………………………………………………………………………………………………………………………………

Dated on \_\_\_\_\_\_\_\_\_\_\_\_ day of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, \_\_\_\_\_\_\_ *[insert date of signing]*

**FORM A2: APPLICANT INFORMATION SHEET**

|  |  |
| --- | --- |
|  | **STRUCTURE AND ORGANIZATION** |
| **1** | Name of Company:  *[insert full legal name]* |
|  |  |
|  | Physical address:  *[insert street/ number/ town or city/ country]* |
|  |  |
|  | Postal address: |
|  |  |
|  | Telephone number: |
|  |  |
|  | Telefax number: |
|  |  |
|  | Email: |
|  |  |
| **2** | Description of the Company’s activities: |
| **3** | Number of years of experience in the provision of the works, services or supplies under reference    Attach Supporting Documents |
| 4 | In case of a Joint Venture, the following documentation shall be required;     1. Certified copy of current trading license of 2018 2. Certified copy of powers of attorney 3. Certificate of incorporation /registration. 4. Certified copy of Current Income tax clearance certificate Addressed to Rubanda DLG 5. Audited Accounts by recognized Audit Firm/Office for the years 2017 and 2018   The Applicant’s authorised representative for information is:  Name*………………………………………………………………………………………………………..*  Address: ……………………………………………………………………………………………………..  Telephone/Fax number ……………………………………………………………………………………………  E-mail address: *…………………………………………………………………………………………………………* |
| **5** | Describe your company’s access from other sources (name the sources/companies) to works, services or supplies it does not carry out or does not have in stock, and the delivery schedule in these cases |
| **6** | What is the time schedule of providing and completing the works, services or supplies being applied for? |
| **7** | Please indicate here or attach an organization chart showing the company structure including key personnel |
| **8** | What are the specific types of equipment/vehicles that the company is certified to work on? (E.g. Motor vehicle, equipment maintenance, e.t.c.) |
| **9** | Please indicate the additional works, services or supplies that the company can provide e.g. mobile repair, vehicle breakdown, pickup service etc |

Attach evidence/supporting documents

**FORM A3: FINANCIAL STATEMENT**

1. Share capital
   * Authorized share capital:
2. Annual value of business under taken in the last two years

|  |  |  |
| --- | --- | --- |
| Year |  |  |
| Turn over |  |  |

1. Approximate value of current work related to this type of works, services or supplies
2. Please attach copies of the company’s audited accounts for the previous two years (profit/loss, assets/liabilities) and any financial data, which you consider to be useful in the shortlisting. Please list all the attachments below.
3. Name and address of Bankers from which references can be obtained and authority to seek references

**FORM A4:** **RESOURCES: PERSONNEL**

1. Number of staff
   * Management staff:
   * Technical staff:
   * Support staff:
     1. Please list the present key personnel and management staff.

|  |  |  |
| --- | --- | --- |
| **Name** | **Qualification** | **Years of relevant experience** |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

**FORM A5: RESOURCES: PROVIDERS EQUIPMENT AND FACILITIES**

On the basis of the information provided in the short listing documents, please indicate equipment and facilities considered by your firm to be necessary for undertaking the contract and whether this is already in the company’s ownership or will be purchased.

The following facilities and infrastructure are available at the Applicants workshop:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | **FORM A6: EXPERIENCE: RELEVANT PROJECTS COMPLETED** | | | |
|  | Please fill in information about the relevant contracts completed over the past three years. | | | |
|  | Name Employer | Description of Contracts | Total Contract Price | Date of Completion |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

The applicant **MUST** attach evidence of performance of the above contracts either in the form of reference letters from the clients. This is applicable for both completed and current similar assignments.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | **EXPERIENCE: CURRENT RELEVANT CONTRACTS** | | | |
|  | Please fill in information about the current relevant contracts being executed. | | | |
|  | Name Employer | Description of Contract | Contract Price | Value completed and certified |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |  |

|  |  |
| --- | --- |
|  | **FORM A7:** **LEGAL STATUS** |
| **1** | Enclose a copy of the Memorandum and Articles of Association or its equivalent. A separate list of Directors/Partners/Proprietors should be attached. A joint venture agreement should be attached where applicable. |
|  |  |
| **2** | Enclose a copy of the Certificate of Incorporation or its equivalent. |
|  |  |
| **3** | Enclose a copy of the Power of Attorney to the signatory of the short listing document registered by the Registrar of Companies or written authorization to submit the application. |
|  |  |
| **4** | Enclose an Income Tax Clearance Certificate addressed to the *[Secretary Contracts committee]*, for this particular purpose. The *[Rubanda District Local Government]* shall only accept original income or certified copy of tax clearance certificate.   * Enclose an Annual Tax Clearance certificate for the previous year(2018) |
|  |  |
| **5** | Please enclose a copy of a Trading License for the current (financial) year **certified** by an issuing authority. |
|  |  |
| **6** | Please enclose a copy of your firm’s insurance policy coverage (applicable to motor vehicle maintenance, repair of office equipment, e.t.c.) |
|  |  |
| **7** | Please enclose a copy of your firm’s ISO or other quality assurance certificate, if any. |

# ANNEX B: EVALUATION CRITERIA

The evaluation criteria is usually based on four main areas namely eligibility, experience, and capacity, technical and quality requirements. The following is what Rubanda District Local Government will use as evaluation criteria: The list is not exhaustive:

WORKS:

Eligibility

* A copy of the applicants current trading license for 2020/2021
* A copy of certificate of registration/incorporation
* A copy of current income tax clearance certificate for 2020/2021
* A copy of bidder’s registration for TIN
* Submission sheet that the applicant has no conflict of interest
* A declaration in the submission sheet that the applicant is not under suspension by the relevant authority
* Recommendation from Works and Technical Services Department if the company has been contracting out works.
* Nationality

Commercial / Technical criteria

* Qualifications and competence of key personnel
* Experience of similar size of contracts
* Essential Equipment ( owned , Leased or hired) with evidence attached

Financial Situation

* Average annual construction turn over
* Audited books of Accounts for the last two years
* Current bank statement
* Current commitments

*N.B This will be based on a pass /fail basis*